

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Donald A. Zehnder
Marcel P. Bruchez
Joseph A. Treadway

Application No.: Not yet assigned

Filed: December 2, 2003

For: FLOW SYNTHESIS OF
QUANTUM DOT NANOCRYSTALS

Examiner: Not yet assigned

Art Unit: Not yet assigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are not enclosed. The references are of record in the Information Disclosure Statement filed on May 15, 2002 in Parent Application No. 10/032,809, a copy of which is submitted herewith.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.


Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

December 2, 2003

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undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



M. Henry Heines
Reg. No. 28,219

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT
Attorney Docket No.: 019916-003510US

Assistant Commissioner for Patents
Washington, D.C. 20231

On

May 15, 2002

TOWNSEND and TOWNSEND and CREW, LLP

By:

[Signature]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Donald A. Zehnder et al.

Application No.: 10/032,809

Filed: December 26, 2001

For: Flow Synthesis of Quantum Dot Nanocrystals

Examiner: Unassigned

Art Unit: 1765

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

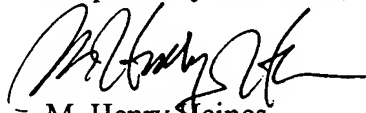
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

Complete if Known

Application Number

10/032,809

Filing Date

December 26, 2001

First Named Inventor

Zehnder, Donald A.

Art Unit

1765

Examiner Name

Unassigned

Attorney Docket Number

019916-003510US

U.S. PATENT DOCUMENTS

| Examiner | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------|-----------------------|--|--------------------------------|--|---|
| | | Number Kind Code ² (if known) | | | |
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| | AB | US-6,179,912 B1 | 01/30/2001 | Barbera-Guillem et al. | |
| | AC | US-6,225,198 B1 | 05/01/2001 | Alvisatos et al. | |
| | AD | US-6,268,041 B1 | 07/31/2001 | Goldstein | |
| | AE | US-6,221,602 B1 | 04/24/2001 | Barbera-Guillem et al. | |
| | AF | US-6,333,110 B1 | 12/25/2001 | Barbera-Guillem | |
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Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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SF 1346543 v1